



Connette il mondo ai tuoi Interessi





OPEN LAKE
TECHNOLOGY

Open Lake Introduction

OPEN LAKE provides a **disruptive**
solution
for IT/COMPLIANCE/BUSINESS teams
in the financial industry





Our international organization



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Created in France in 2018 to help the financial industry regarding MIFID2, GDPR and major understaffing issues, Open Lake in a few months had had some major success with:



France :

www.open-lake.com

England :

www.links-networks.com
www.tradingsupport.co.uk

Spain :

www.open-lake.com

Italy :

www.spheraservice.it

Morocco :

www.aebdm.ma

Singapore :

www.gemen.tech



For Whom ?



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IT and Telco Team



Compliance Officers



Business and desk members

A dedicated solution



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Dedicated solution to financial institutions

C I B

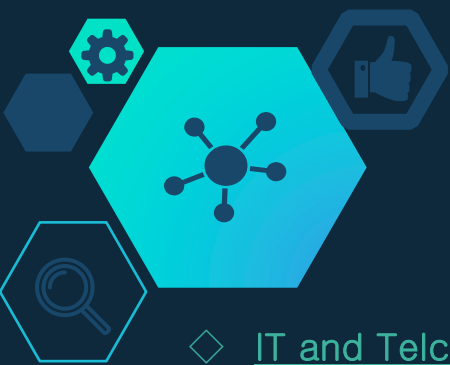
Retail and
Private Banking

Brokers / dealers

ASSET
MANAGEMENT

Public
Administration

Insurance



For what ?



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- ◇ IT and Telco Team : We aggregate and provide all your existing gears into one single place with the added value of **reporting automation** through an **agnostic** and **easy to use central tool**.

Open Lake detects **at a glance** all **IT-TELCO-RECORDING-STORAGE INFRASTRUCTURE failure** and provides a **continuous flow** and relevant technical reporting/real time alarms for a **proactive/reactive** view on your network

- ◇ Compliance Officers : We provide **at a glance** a clear vision on your failures and weaknesses on **compliance** control points regarding MIFID II, GDPR ...without the **needs** of the IT Teams
- ◇ Business and desk members : We analyze the use of the Telco trading room resources and give you a complete access to usage and strategy to be applied



Keywords



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◇ Main characteristics of the solution:

- Finance environment focus
- Central and Agnostic tool
- All in one dashboard
- Report Automation
- At a glance view
- Real time alarms
- Ethics surveillance
- Governance follow up
- Faster responsiveness
- Cost killer

An agnostic solution



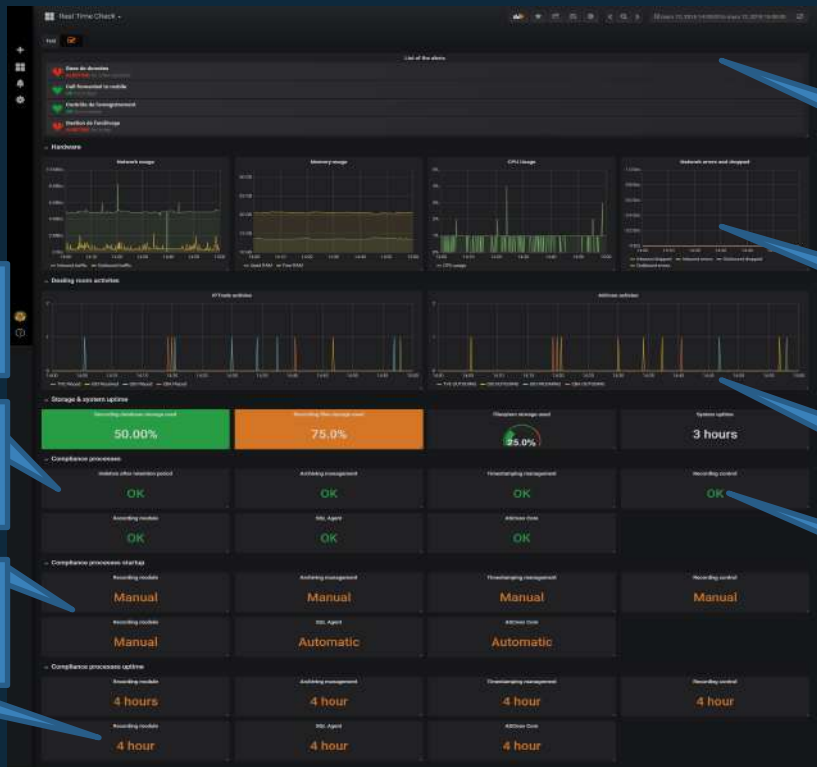
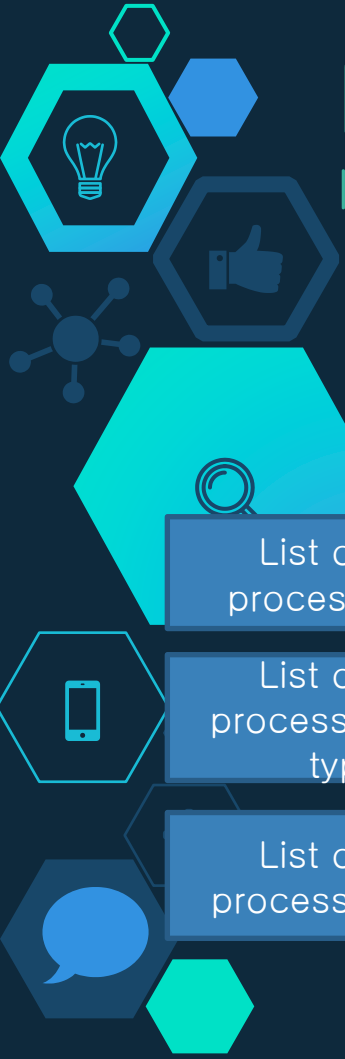
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Real Time Check:

IT check-up at a glance!



List of the process state

List of the process startup type

List of the process uptime

List of all the active alarms

List of all the hardware metrics

List of all the Iprade & Recording activities

Storage and uptime of the system



Call Match:

Compliance control at a glance!

Entries comparison between IPBX and recorders

List of the IP telephony calls

List of recorded calls

List of the ASC calls



Smart Business Data :

Trading room performance at a glance!



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The call repartition
(Placed, issued,
missed)

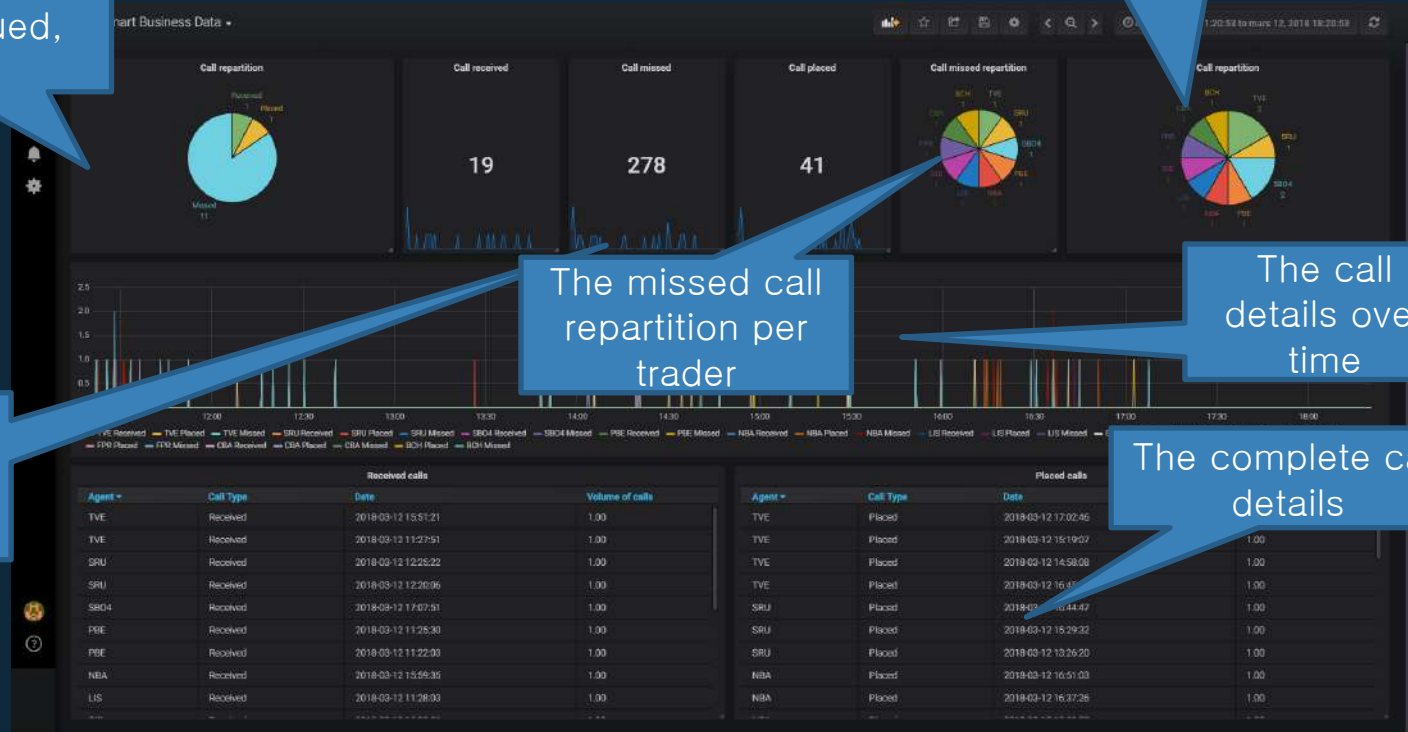
The call repartition
per trader

The call repartition
(Placed, issued,
missed) + a
timegraph

The missed call
repartition per
trader

The call
details over
time

The complete call
details





SUMMARY



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◇ FROM ONE SCREEN TO AN AUTOMATIC COMPLETE REPORT:

- No more manual task for IT or Compliance
- Receive as many complete report as you want in the journey
- No needs to have access to the tool, the tool comes to you automatically with reports and alarms
- Only monitor the elements identified per the tool, no longer by chance
- Only added value tasks for teams
- Be sure at a glance where the problem comes from



Examples of customized daily reports

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Business vision, compliance control & IT real time check

Real Time Check

Mon Mar 12 09:00:06 UTC 2018
to
Mon Mar 12 17:00:06 UTC 2018

Call Match : Téléphonie - Enregistrement

Activité téléphonie-PTress	Activité enregistrement ASO
82	78

Listes des interactions enregistrées

Date	Appel enregistré en ligne	Statut (L ou DR)
2018-03-12 09:01:00	004-000000000-0100	1
2018-03-12 09:02:00	004-000000000-0100	1
2018-03-12 09:03:00	004-000000000-0100	1
2018-03-12 09:04:00	004-000000000-0100	1
2018-03-12 09:05:00	004-000000000-0100	1
2018-03-12 09:06:00	004-000000000-0100	1
2018-03-12 09:07:00	004-000000000-0100	1
2018-03-12 09:08:00	004-000000000-0100	1
2018-03-12 09:09:00	004-000000000-0100	1
2018-03-12 09:10:00	004-000000000-0100	1

Conformité de l'écosystème

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Business vision, compliance control & IT real time check

Dépendances après réinitialisation	Statut de l'enclenchement	Statut de l'horodatage
Running	Running	Running
Contrôle de l'enregistrement	Module d'enregistrement	Agent base de données
Stopped	Running	Stopped
	ASOCore Core	
	Stopping	

Conformité : Indicateurs

Dépendances après réinitialisation	Statut de l'enclenchement	Statut de l'horodatage
1 week	13 day	13 day
Contrôle de l'enregistrement	Module d'enregistrement	Agent base de données
13 day	1 week	13 day
	ASOCore Core	
	6 hour	

Conformité stockage et durée de fonctionnement

Indicateur base de données utilisé	Stockage des fichiers audio	Stockage enregistrer
16.18%	83.7%	62.5%
	Base de données enregistrer	
	1 day	

Type de démarrage des processus conformité

Dépendances après réinitialisation	Statut de l'enclenchement	Statut de l'horodatage
Automatic	Manual	Manual
Contrôle de l'enregistrement	Module d'enregistrement	ASOCore Core
Manual	Manual	Automatic

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Business vision, compliance control & IT real time check

Agent base de données
Automatic

Top 5 des utilisateur les plus actifs

Nom de l'utilisateur	Nombre de interactions
MAN	24
YUL	4
GL	3
PTT	3
DRM	3

Top 5 des interlocuteurs les plus actifs

Nom de l'interlocuteur	Nombre d'interactions
AT01	1
ASO0000001	1
ASO0000002	1
AT02	1
AT03	1

Top 5 LS - Contreparties les plus contactées

Contrepartie	Nombre d'interactions
AT04	46
AT05	7
AT01	4
AT06	1
AT02	1

LS non utilisées

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