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TECHNOLOGY

# Open Lake Introduction

OPEN LAKE provides a **disruptive**  
solution  
for IT/COMPLIANCE/BUSINESS teams  
in the financial industry





# Our international organization



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Created in France in 2018 to help the financial industry regarding MIFID2, GDPR and major understaffing issues, Open Lake in a few months had had some major success with:



France :

[www.open-lake.com](http://www.open-lake.com)

England :

[www.links-networks.com](http://www.links-networks.com)  
[www.tradingsupport.co.uk](http://www.tradingsupport.co.uk)

Spain :

[www.open-lake.com](http://www.open-lake.com)

Italy :

[www.sphaservice.it](http://www.sphaservice.it)

Morocco :

[www.aebdm.ma](http://www.aebdm.ma)

Singapore :

[www.gemen.tech](http://www.gemen.tech)



# For Whom ?



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IT and Telco Team



Compliance Officers



Business and desk members

# A dedicated solution



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## Dedicated solution to financial institutions

C I B

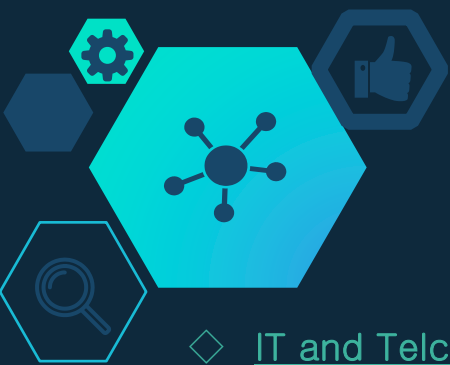
Retail and  
Private Banking

Brokers / dealers

ASSET  
MANAGEMENT

Public  
Administration

Insurance



# For what ?



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- ◇ IT and Telco Team : We aggregate and provide all your existing gears into one single place with the added value of **reporting automation** through an **agnostic** and **easy to use central tool**.

Open Lake detects **at a glance** all **IT-TELCO-RECORDING-STORAGE INFRASTRUCTURE failure** and provides a **continuous flow** and relevant technical reporting/real time alarms for a **proactive/reactive** view on your network

- ◇ Compliance Officers : We provide **at a glance** a clear vision on your failures and weaknesses on **compliance** control points regarding MIFID II, GDPR ...without the **needs** of the IT Teams
- ◇ Business and desk members : We analyze the use of the Telco trading room resources and give you a complete access to usage and strategy to be applied



# Keywords

## ◇ Main characteristics of the solution:

- Finance environment focus
- Central and Agnostic tool
- All in one dashboard
- Report Automation
- At a glance view
- Real time alarms
- Ethics surveillance
- Governance follow up
- Faster responsiveness
- Cost killer

# An agnostic solution



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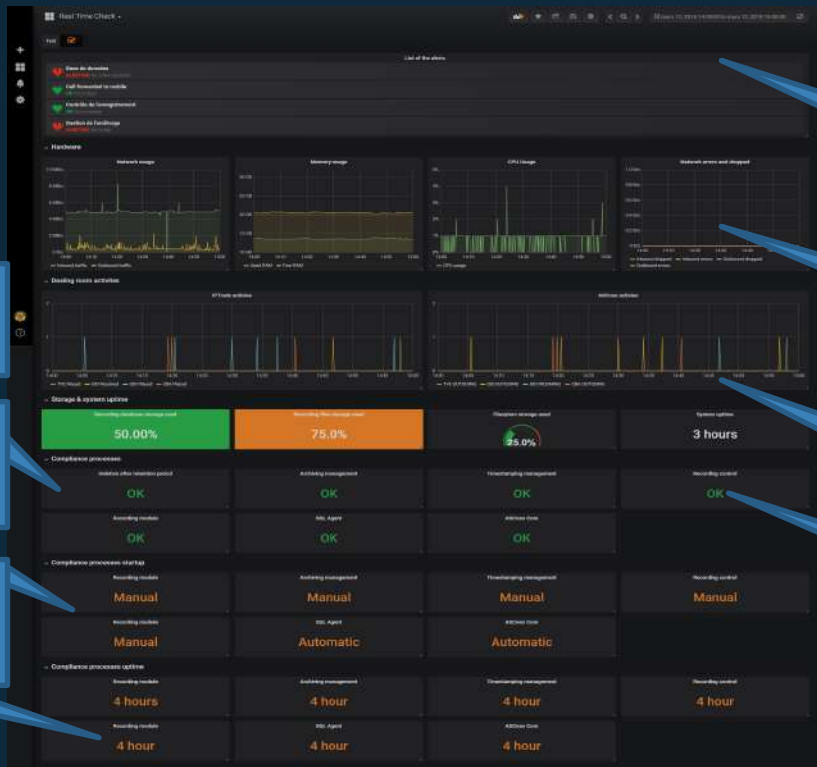
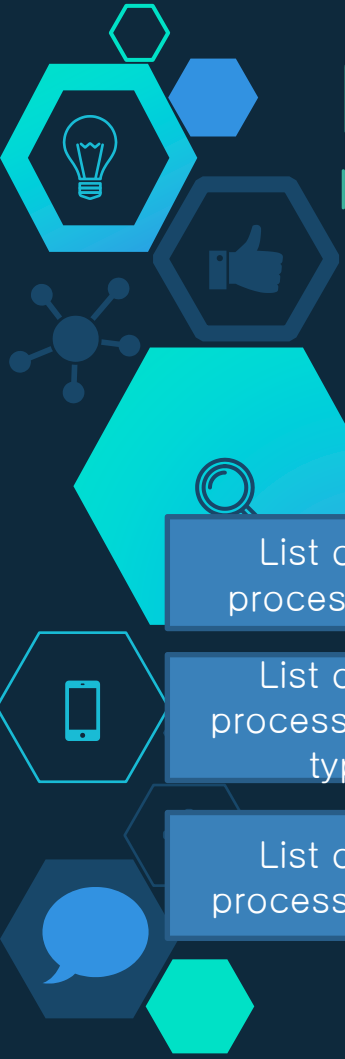






# Real Time Check:

IT check-up at a glance!



List of the process state

List of the process startup type

List of the process uptime

List of all the active alarms

List of all the hardware metrics

List of all the Iprade & Recording activities

Storage and uptime of the system



# Call Match:

## Compliance control at a glance!

Entries comparison between IPBX and recorders

List of the IP telephony calls

List of recorded calls

List of the ASC calls



# Smart Business Data :

Trading room performance at a glance!



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The call repartition  
(Placed, issued,  
missed)

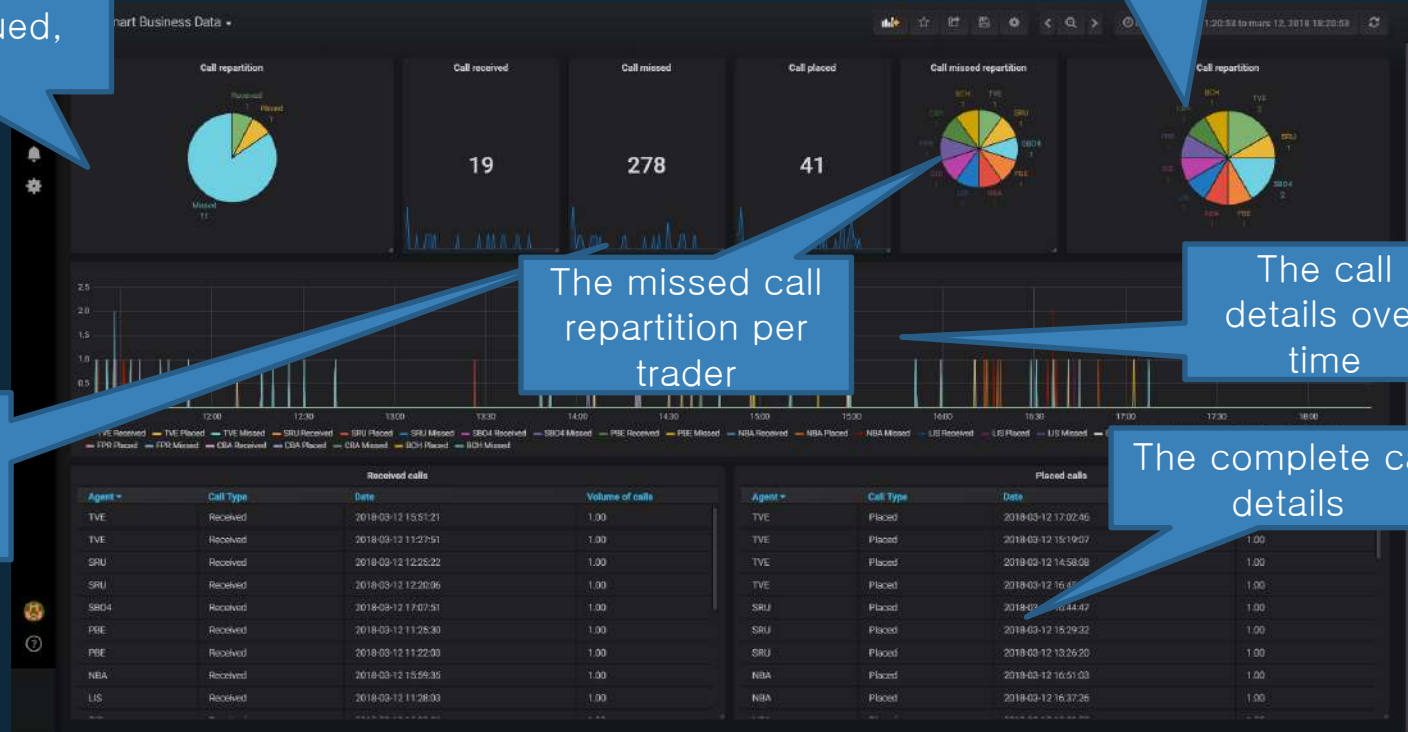
The call repartition  
per trader

The call repartition  
(Placed, issued,  
missed) + a  
timegraph

The missed call  
repartition per  
trader

The call  
details over  
time

The complete call  
details





# SUMMARY



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## ◇ FROM ONE SCREEN TO AN AUTOMATIC COMPLETE REPORT:

- No more manual task for IT or Compliance
- Receive as many complete report as you want in the journey
- No needs to have access to the tool, the tool comes to you automatically with reports and alarms
- Only monitor the elements identified per the tool, no longer by chance
- Only added value tasks for teams
- Be sure at a glance where the problem comes from



# Examples of customized daily reports



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Business vision, compliance control & IT real time check

**Real Time Check**

Mon Mar 12 09:00:06 UTC 2018  
to  
Mon Mar 12 17:00:06 UTC 2018

Call Match : Téléphonie - Enregistrement

Activité téléphonie-press	Activité enregistrement ASC
<b>82</b>	<b>78</b>

Listes des interactions enregistrées

Date	Appel enregistré en ligne	Statut (à DR)
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1
2018-03-12 09:01:00	004-0000000000000000	1

Conformité de l'écosystème

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Business vision, compliance control & IT real time check

Dépendances après réinitialisation	Statut de l'enregistrement	Statut de l'enregistrement
<b>Running</b>	<b>Running</b>	<b>Running</b>
Contrôle de l'enregistrement	Module d'enregistrement	Appel basé de données
<b>Stopped</b>	<b>Running</b>	<b>Stopped</b>
	ASCross Care	
	<b>Stopping</b>	

Conformité : Indicateurs

Dépendances après réinitialisation	Statut de l'enregistrement	Statut de l'enregistrement
<b>1 week</b>	<b>13 day</b>	<b>13 day</b>
Contrôle de l'enregistrement	Module d'enregistrement	Appel basé de données
<b>13 day</b>	<b>1 week</b>	<b>13 day</b>
	ASCross Care	
	<b>6 hour</b>	

Conformité stockage et durée de fonctionnement

Stockage basé de données utilisé	Stockage des fichiers audio	Stockage enregistrer
<b>16.18%</b>	<b>83.7%</b>	<b>62.5%</b>
	Durée fonctionnement enregistré	
	<b>1 day</b>	

Type de démarrage des processus conformité

Dépendances après réinitialisation	Statut de l'enregistrement	Statut de l'enregistrement
<b>Automatic</b>	<b>Manual</b>	<b>Manual</b>
Contrôle de l'enregistrement	Module d'enregistrement	Appel basé de données
<b>Manual</b>	<b>Manual</b>	<b>Automatic</b>
	ASCross Care	

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Business vision, compliance control & IT real time check

Appel basé de données  
**Automatic**

Top 5 des utilisateur les plus actifs

Nom de l'utilisateur	Nombre de interactions
MAN	24
TYE	4
GL	3
WPC	3
DEK	3

Top 5 des interlocuteurs les plus actifs

Nom de l'interlocuteur	Nombre d'interactions
ATV1	1
ADAPTATION	1
RECHERCHEUR	1
ATV2	1
STAG	1

Top 5 LS - Contreparties les plus contactées

Contrepartie	Nombre d'interactions
STAG	46
ATV1	7
ATV2	4
STAG	1
ATV2	1

LS non utilisées

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